



# UTMA/UGMA Account Transfer Form

Use this form to re-register a Thornburg UTMA/UGMA account to the former minor once the minor has attained the age of majority in her or his state.

For additional information, please visit us at [Thornburg.com](http://Thornburg.com), call us at 800-847-0200, or consult your financial advisor.

Mail form to: Thornburg c/o DST, PO Box 219017, Kansas City, MO 64121

Or overnight to: Thornburg c/o DST, 330 W. 9th Street, Kansas City, MO 64105

## Section 1: Current Account Information

Custodian name

Former minor name

Account number

Former minor date of birth

Address

Phone

City

State

Zip

Alternate Phone

Email Address

## Section 2: Options

Please transfer UTMA/UGMA into a new account (see attached account application)

Please transfer UTMA/UGMA into an existing Thornburg account \_\_\_\_\_

Special instructions: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## Section 3: Redemptions

Please complete this section if you wish to redeem shares once they have been re-registered. For this option, your signature(s) must be certified with an original Medallion Signature Guarantee stamp if the proceeds are being sent to a new address, banking instructions or a special payee.

Please redeem all shares from my account

Please redeem \$ \_\_\_\_\_ from fund \_\_\_\_\_

Please redeem \$ \_\_\_\_\_ from fund \_\_\_\_\_

Please redeem \$ \_\_\_\_\_ from fund \_\_\_\_\_



## Section 5: Former Minor Aged 25 or Older OR Custodian

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Custodian signature

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OR Former minor signature

### Medallion Signature Guarantee

A Medallion Signature Guarantee may be executed by any eligible guarantor: Commercial Banks, Trust Companies, Registered Broker Dealers. A Notary Public is NOT an eligible guarantor.

Affix Guarantee Here

## Section 6: Former Minor Ages 18–24

### Certification/Signature

By signing below, I certify under penalties of perjury that:

- (a) I am the beneficial owner of the assets contained in the account(s) listed on this form;
- (b) I have attained the legal age required by the laws of the state under which the assets were gifted or transferred to me;
- (c) No designation was made by the donor that requires termination of custodianship for my assets be delayed until I reach a later age;
- (d) I have not already received equivalent gifts or transfers of money from the custodian that would reduce the value of the assets due to me in the custodial account(s);
- (e) I am not aware of any competing claims from the custodian or a successor custodian that would prevent me from receiving the assets in the custodial account(s);
- (f) The custodian or successor custodian has declined to release the assets to me as required by applicable state law;
- (g) I am a U.S. citizen, or resident alien, and (1) the Social Security or other taxpayer identification number (TIN) provided on this form is my correct TIN, and (2) I am not subject to backup withholding due to an IRS notification. (You must strike out the preceding clause if you have been notified by the IRS that you are currently subject to backup withholding.) (3) I am a U.S. citizen or U.S. resident alien.

I understand that if I do not supply a TIN or certify I am not subject to backup withholding will apply to my reportable dividends or interest and/or redemptions and my account may be closed. If I am not a U.S. citizen or resident alien, I understand that I must also supply a completed Form W-8BEN. I also understand that the Internal Revenue Service does not require my consent to any provision of this document other than the certifications required to avoid backup withholding.

This form must be completed and will be verified as required by the USA PATRIOT Act. Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that allows us to identify you. This information will be verified to ensure the identity of all individuals opening a mutual fund account.

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Former minor signature

Date

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Affix Guarantee Here